

03/05/01



1c965 U.S. PTO

03-07-01

A

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))



09/800106

Docket No. : 41938/DBP/C664  
Inventor(s) : David Funk  
Title : OPTICAL SUPERVISORY CHANNEL  
Express Mail Label No. : EL483170690US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: March 5, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

\_\_\_ This application is a \_\_\_ of patent application No. .

Prior application information: Examiner ; Group Art Unit:

\_\_\_ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),  
to provisional Application No. .

3. **APPLICATION COMPRISED OF**

**Specification**

13 Specification, claims and Abstract (total pages)

**Drawings**

5 Sheets of drawing(s) (FIGS. 1 to 5)

**Declaration and Power of Attorney**

\_\_\_ Newly executed

☒ Unexecuted declaration

\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_ **Microfiche Computer Program** (Appendix)

5. \_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

\_\_\_ Computer Readable Copy

\_\_\_ Paper Copy (identical to computer copy)

\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

\_\_\_ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL  
ENTITY

7. **ALSO ENCLOSED ARE**

\_\_\_ Preliminary Amendment

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No.: 41938/DBP/C664


- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: March 5, 2001**

Docket No. : 41938/DBP/C664  
Inventor(s) : David Funk  
Title : OPTICAL SUPERVISORY CHANNEL

**FEE DETERMINATION**

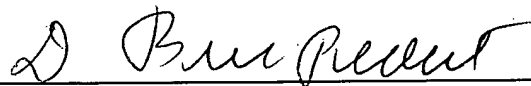
CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	17 - 20	0	x \$9.00	0 x \$18.00	\$0.00
INDEPENDENT CLAIMS	4 - 3	1	x \$40.00	1 x \$80.00	\$80.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$135.00	\$270.00	\$0.00
BASIC FEE			\$355.00	\$710.00	\$710.00
TOTAL FILING FEE					\$790.00
List Independent Claims: 1, 11, 12, and 16					

**METHOD OF PAYMENT**

- ☒ No filing fee enclosed
- ☒ No Deposit Account Authorization.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

D. Bruce Prout  
Reg. No. 20,958  
626/795-9900